# RECORDS RETENTION SCHEDULE

# LG4

Town and City Council Records

July 1, 1993 (Reissued May 2004)

Office of the Secretary of State Rhode Island State Archives & Public Records Administration Rhode Island Local Government Records Program 337 Westminster Street Providence, Rhode Island 02903

# Records Retention Schedule LG4 Town and City Council Records

This Records Retention Schedule has been developed by the Rhode Island Local Government Records Program of the State Archives in an effort to assist government officials in the proper management of public records.

The schedule consists of twenty four (24) separate sets of records, or series, recognized as those records generated by town and city councils. Included in each entry is a series number, records series title and description, and a retention period. For example,

| Series No. | Series Title & Description                     | Retention Period |
|------------|--|------------------|
| LG4.2.4    | Local Rules and Regulations: Not Adopted       | Ten years;       |
|            | May include sets of rules and regulations that | then review.     |
|            | govern a particular aspect of municipal        |                  |
|            | development on the local level.                |                  |

The retention periods set forth in this document are minimum periods and are the result of analysis on the part of the State Archives concerning the legal, fiscal, administrative, and historical value of the records. Because many retention periods are based on state and federal statute and regulation, updates to this schedule may be issued to incorporate any changes.

Proper procedures for the destruction of public records must be adhered to. Records that are eligible for destruction can only be legally destroyed with an approved "Certificate of Records Destruction" (§38-1-10, §38-3-6 (j), and §42-8.1-10). A Certification of Records Destruction form and instructions are included with this schedule. State Archives staff members are always available to answer questions you might have regarding any records issues.

Local government officials should also consult with the municipal solicitor to determine if any records eligible for destruction may be involved in current or pending litigation or request under the Access to Public Records Act (RIGL §38-2).

Office of the Secretary of State
Rhode Island State Archives and Public Records Administration
Rhode Island Local Government Records Program
337 Westminster Street
Providence, Rhode Island 02903
401-222-2353

# State of Rhode Island and Providence Plantations Rhode Island State Archives & Public Records Administration

# **CERTIFICATION OF RECORDS DESTRUCTION**

| 1. Department                            |   |                          |           |
|--|---|--------------------------|-----------|
| 2. Division                              |   | 3. Date                  |           |
|  | with the Authority granted by ords have met the legal retenti |                          |           |
| 4. Record Series Number (from schedule)  | 5. Record Series Title  | 6. Dates to/from         | 7. Volume |
|  |   |                          |           |
|  |   |                          |           |
|  |   |                          |           |
|  |   |                          |           |
|  |   |                          |           |
|  |   |                          |           |
|  |   |                          |           |
| 8. 1 certify that I have reviewe         | d the above listed records and author                         | orize their destruction. |           |
| Dept. Head or Records Cu                 | stodian   |                          |           |
| State Archivist & Public Records Adminis | strator   |                          |           |

Signed and executed Certificate is a permanent record. (§42-8.1-10)

#### Certification of Records Destruction

<u>Instructions for completing the form.</u> Numbers below correspond with blocks on the Certification of Records Destruction form, a copy of which is provided on the previous page. The form may be reproduced.

- 1. Department means any state or local government entity. Include the name of your city or town.
- 2. <u>Division</u> means any sub-unit of any department.
- 3. Date the date your department prepared the form.
- 4. <u>Record Series Number</u> enter the exact series number. Each series listed on retention schedules has a unique identifying number. Series numbers are preceded by the letters LG on municipal schedules and on General Schedules by the letters GS.
- 5. Record Series Title enter the exact record series title, as listed on the retention schedule. Each series title should correspond to the one cited in the schedule. If your department uses different titles than those found in the schedules, you may want to add the local title in square brackets [ ] under each entry on the form.

**Note:** Using the precise record series number and title will expedite the approval process.

6. <u>Dates to/from</u> - enter the earliest and latest dates covered by the records proposed for destruction. In most cases just the year will suffice.

**Note:** For purposes of calculating retention, do not count the year in which the records were created. If the retention period of a particular series is qualified by wording such as "audit plus one year" or "three years after expiration," the date of the completed audit or the year of expiration needs to be also noted.

- 7. <u>Volume</u> enter the volume of records to be destroyed. Volume is most easily measured by the number of inches or linear feet of records, although cubic foot measurements give a more accurate figure. A table of volumes and a cubic foot equivalency formula are provided below.
- 8. Department Head or Records Custodian signature of the local official authorized to request records destruction.

Send the completed form to the Rhode Island State Archives. The Certificate will be reviewed, countersigned by the State Archivist/Public Records Administrator, and then returned. Upon receipt the records may legally be destroyed. The signed and executed Certification of Records Destruction must be retained as a permanent record under RIGL §42-8.1-10.

#### Table of Volumes

standard records storage box (15" x 12" x 10")

1.2 cubic foot letter size file drawer

1.5 cubic feet legal size file drawer

2 cubic feet lateral file drawer

2.5 cubic feet

## **Cubic Foot Equivalency Formula**

L x W x H (inches)
----- = cubic feet/unit
1728

## **Town and City Council**

Record Series No. Record Series Title and Description Retention

## LG4.1 Meetings & Hearings

Accounts of the proceedings and actions of any board, commission, committee or council.

May include notices of meetings, warrants, agendas, meeting dockets, roll call, official minutes, claims, correspondence, complaints, licenses, applications, bids, blueprints, petitions, waivers, reports, legal opinions, financial studies, budgets, election certificates, tallies and returns, declarations, recommendations, special local legislation, amendments to council rules, ordinances and resolutions, background papers and supporting documentation.

| LG4.1.1  | Council Meetings   | Permanent;<br>Microfilm<br>annually;<br>store master film<br>off-site                         |
|----------|--|---|
| LG4.1.2  | Board Meetings   | Permanent   |
| LG4.1.3  | Commission Meetings  | Permanent   |
| LG4.1.4  | Committee Meetings   | Permanent   |
| LG4.1.5  | Business Meetings  | Permanent   |
| LG4.1.6  | Special Meetings   | Permanent   |
| LG4.1.7  | Financial Town Meetings  | Permanent   |
| LG4.1.8  | Work Sessions  | Permanent   |
| LG4.1.9  | Executive Sessions   | Permanent   |
| LG4.1.10 | Hearings   | Permanent   |
| LG4.1.11 | Recordings/Tapes/Stenographers Notebooks Recordings/notes of meetings and/or hearings. | Destroy six<br>months after<br>transcription and<br>approval of<br>minutes or<br>proceedings. |

Note: If recordings, tapes or notebooks are <u>not</u> transcribed, they must be retained <u>permanently</u>.

### Town and City Council

Record Series No. Record Series Title and Description Retention

LG4.2 Regulatory Matters

Includes local legislation in which the municipality has an interest or has been involved.

LG4.2.1 Ordinances: Adopted

May include lists of all ordinances, ordinance

number and ultimate decision.

Permanent Microfilm annually; store master film off-

site

Note: Maintain certified copy of all official ordinances in a separate ordinance file.

LG4.2.2 Ordinances: Not Adopted Ten years; then review

May include lists of all proposed ordinances, the proposer (e.g. dept., board, ward, councilor),

ordinance number and ultimate decision (e.g. adopted, changed, withdrawn, in committee indefinitely).

LG4.2.3 Resolutions: Adopted

May include lists of all resolutions, resolution

number and ultimate decision.

Permanent Microfilm annually; store master film off-

site

Note: Maintain certified copy of all official resolutions in a separate resolution file.

LG4.2.4 Resolutions: Not Adopted Ten years; then
May include lists of all proposed resolutions, the review

May include lists of all proposed resolutions, the proposer (e.g. dept., board, ward, councilor), resolution number and ultimate decision (e.g. adopted,

changed, withdrawn, in committee indefinitely).

LG4.2.5 Local Rules and Regulations: Adopted Permanent

May include sets of rules and regulations that govern a particular aspect of municipal development on the

local level.

LG4.2.6 Local Rules and Regulations: Not Adopted

May include sets of rules and regulations that govern

review

May include sets of rules and regulations that govern a particular aspect of municipal development on the

local level.

LG4.2.7 State Legislation: Proposed

May include local constituency requests to amend or review

change existing state laws.

## Town and City Council

Record Series No. Record Series Title and Description Retention LG4.3 **Appointments** Permanent May include lists of boards, commissions, committees or councils, notices of appointments and resignations, lists of members with terms and dates of appointment and the code or executive order establishing the local board, commission, committee or council. LG4.4 Correspondence Often referred to as General Correspondence or General Administrative Files. LG4.4.1 **Correspondence - Routine Nature** Retain current year plus one year LG4.4.2 Correspondence - Special Retain current year

LG4.4.3 Certification of Records Destruction

Permanent

plus three years

Certification of Records Destruction forms (PRA 003) signed by authorized agency official and submitted to, and signed by, the State Archivist/Public Records Administrator. Certificates authorize the disposal of records listed in this and other applicable schedules. (RIGL § 38-1-10, § 38-3-6, and § 42-8.1-10.).

Note: When records are eligible for destruction, as a matter of procedure, consult your legal counsel or city/town solicitor.

General Office Administrative Records Fiscal Records Reference Records

Reference Records See Records Retention Schedule #1

Personnel Records Payroll Records

**See Records Retention Schedule #2** 

(Reprinted May 2004)